

## Appendix 2 Risk Update

<b>Risk Reference</b>	493	<b>Risk Name</b>	Waste Management (Corporate (new))
<b>Risk Description</b>	Failure to manage the city's waste against targets in an affordable manner.		

<b>Risk Owner</b>	Nigel Grimshaw	<b>Risk Updater</b>	Cormac Quinn
<b>Risk Type</b>	Strategic	<b>Risk Response</b>	Treat

Risk Evaluation		Initial	Current	Target
	<b>Likelihood</b>	5	4	3
	<b>Impact</b>	5	5	4
	<b>Risk Level</b>	Severe	Severe	Major

<b>Risk Causes</b>	Lack of Waste Infrastructure, lack of Diversion of Waste, Legislative Changes, World commodity price changes, Contractual cost variations, Contractor difficulties (bankruptcy, non-compliance with licence/planning conditions, market consolidation &c) NILAS Diversion Target are not met due to a Lack of Investment, lack of available resources (funding / vehicles and people), Procurement Challenges (Planning, Financing) increased waste growth,
<b>Source of Assurance</b>	Waste Program Board, NIEA Quarterly and Annual Audit, Waste Financial Plan, Waste Restatement, Waste Agenda Reviews, Waste Flow Model, Monthly senior management review meetings. Integrated Management System accreditation. Regular review meetings with Cleansing Services through monthly Inter-service meetings. Monthly meetings with arc21 at both Member and senior manager level. Additional ad hoc meetings between arc21 management team and CX and/or BCC Directors as needed. Regular review meetings held between Cleansing Services and FMU regarding fleet availability collaboration with industry leaders WRAP
<b>Any Other Information</b>	23/10/2018 Consultation closed awaiting report from consultants, SOS bring new legislation in November to NI Civil Service 24/07/2018 WPB Due 20/08/2018 Consultation end July 10 weeks. Arc21 Project NICS Decision appeal not upheld. 04/05/2017 Risk reviewed -WPB has met March 2018, Capital projects have been agreed as emerging projects and framework projects for year are being programed. 24/04/2017 Risk reviewed - Waste Agenda Framework approved by committee and council Jul 2017 24/04/2017 Risk reviewed - progress within actions and development of Waste Agenda Framework 01/12/2016 Risk reviewed - progress in actions

## Appendix 2 Risk Update

Key Controls in Place	Control Owner
1. Waste Programme Board - Governance and Oversight of Waste Performance to Recycling and diversion targets, Statutory obligation, Customer expectation and adequate financial controls (Quarterly Meetings are planned)	Nigel Grimshaw
2. Waste Framework - Action Plan outlining actions and targets to reduce disposal by landfill and increase recycling rates agreed. A robust performance management framework is in place to monitor activities and results forecast noted at SMT Team Meeting and WPIG /WPB.	Tim Walker
3. Waste Diversion Contract - This will be used until such times as the arc21 Residual Waste Treatment Project/Interim solution is delivered (long term options are dependent on the procurement of arc21 contracts).	Tim Walker
4. Contract Monitoring and Review - Contract Monitoring and Review - Contracts reviewed periodically based on potential risk of Duty of care and other NIEA requirements. This periodic review will also include a further assessment of risk to service delivery, damage to reputation and cost. Higher risk will determine a closer contract-monitoring plan. In line with AGRS recommendations, annual Waste Management Contract report presented to WPIG.	Brendan Murray
5. Waste Financial Controls - Review Analysis and forecasting of the MTFP and Waste Restatement Exercises conducted quarterly and tested with Management accounts for robustness Reviewed as part of Waste Programme Board	Cormac Quinn
6. Customer Service Standards - Key service standards for the waste collection service have been agreed. This will be subject to review as part of Change program	T Walker
7. Delivery of the service - Refuse collection processes and procedures in place, staff are trained in acceptable service delivery e.g. early morning collections in residential areas. Management review of routes / times, etc in place. All complaints are investigated and remedial action taken Relevant issues are considered at Monthly Inter-service meeting	John McConnell
8. Vehicle availability - Vehicle availability monitored daily with escalation of serious vehicle availability issues to the Director. Vehicle needs included within Capital programme annually. Vehicle needs and specification are agreed between collection and fleet sections each year. Vehicles purchased at appropriate time i.e. end of useable life	T Walker
9. Staff resources - Appropriate staffing framework to deliver the service determined and in place. Staff levels reviewed against work plans.	T Walker
10. Management systems - Management systems - The Service will maintain a standard equivalent to BS OHSAS 18001:2007 management system standard.	John McConnell
11. Health and Safety requirements - "Wires" audits are carried out for the waste collection service and the reports are reviewed and actions implemented.	John McConnell
12. Availability of suitability qualified drivers - Driving licences are checked twice per year. All non-production is investigated. Where incidents occur drivers are interviewed and action is taken where necessary, including re-training or disciplinary	John McConnell
13. Delivery of service to agreed standards - Performance Management embedded in Service Regular monitoring of performance along with employee review meetings including PDP system	John McConnell
14. Expenditure - Expenditure budget monitored and variance reviewed monthly. Controls on overtime are embedded within the service and overtime discussed with Trade Unions as necessary. Limits set by revenue estimates are provided to Managers for operational implementation	John McConnell

## Appendix 2 Risk Update

15. Business continuity - Business Continuity strategy and plan in place for Waste Management and Cleansing Services, reviewed at least annually, with appropriate test completed.	T Walker
--	----------

Key Actions & Progress Updates						
ID	Action Description	Action Owner	Action Status	Original Impl. Date	Revised End Date	Progress Update Notes
3837	Based on the outcome the PAC relating to the DOE Ministers decision in regards to Arc21 Lead Residual Waste Treatment project.	Tim Walker	Partially Implemented	31/03/2017	28/02/2017	Last updated: 23/10/2018 11:19:29 - Awaiting new Legislation from Secretary of State for NI in regards to providing the ability for Civil Service to progress with significant decisions in the absence of ministers and a functioning assembly

## Appendix 2 Risk Update

<b>Risk Reference</b>	498	<b>Risk Name</b>	Safeguarding All Ages (Corporate (new))
<b>Risk Description</b>	Fail to safeguard children and adults from the risk of harm caused by abuse, exploitation and neglect when using Council facilities / attending Council events/or receiving Council services.		

<b>Risk Evaluation</b>		<b>Initial</b>	<b>Current</b>	<b>Target</b>
	<b>Likelihood</b>	4	3	2
	<b>Impact</b>	5	5	5
	<b>Risk Level</b>	Severe	High	Major

Key Actions to be Taken						
ID	Action Description	Action Status	Action Owner	Original Implementation Date	Revised End Date	
3864	1. Develop / seek approval of new policy framework for safeguarding all ages.	Agreed / Not Implemented	Israel Hontavilla	31/03/2017	30/06/2017 30/01/19	
	<b>Latest Update Note</b> <b>13/04/2018</b>	CMT approved the new BCC Safeguarding Assurance Framework which will consider and propose the new policy to SP&R (Sept 2018) The Safeguarding Panel have initiated work to develop the new policy framework, however due to resource gap, the revised timeline for CMT and subsequent committee consideration is January 2019				
3865	Communicate and implement new policy framework.	Agreed / Not Implemented	Israel Hontavilla	31/03/2017	31/10/2017 30/01/19	
	<b>Latest Update Note</b> <b>13/04/2018</b>	Implementation group established with Communications as a key element of the Action Plan. Draft AP to be considered by Safeguarding Panel (May 2018) The Implementation group are continuing to develop an Action Plan in line with the Responsibility Matrix agreed by the Safeguarding Panel on 14 <sup>th</sup> June. Communication is a key element of the Action Plan and will include actions to ensure awareness of the new policy and the associated training plan aligned to officer roles and responsibilities.				
3866	Based on the framework, review and agree officer Roles and Responsibilities (including representative on regional and city Safeguarding infrastructure).	Agreed / Partially Implemented	Cate Taggart	31/03/2017	30/06/2017 31/10/18	

## Appendix 2 Risk Update

	<b>Latest Update Note</b> <b>13/04/2018</b>	Currently reviewing safeguarding resource in council in order to present options to SROs in relation to officer requirements including representation on regional and city infrastructure. (May 18) Recruitment for a DO has been approved. In the interim time, the Play Development Officer is representing Council on existing networks and structures, ensuring up to date knowledge of current practice and participation on relevant city and regional bodies.			
3867	Review training requirements, develop, and agree relevant officer training programme.	Agreed / Not Implemented	Israel Hontavilla	31/03/2017	30/09/2017 30/01/19
	<b>Latest Update Note</b> <b>13/04/2018</b>	Implementation group established with officer training as a key element of the Action Plan. Draft AP to be considered by Safeguarding Panel (May 2018) The Implementation group are continuing to develop an Action Plan in line with the Responsibility Matrix agreed by the Safeguarding Panel on 14th June. A review of the required levels of training and scoping of existing materials is being carried out by current training providers in council and 'Keeping Safe' programme staff. This will be finalised by December 2018 and an options paper will be presented to the Implementation group by that date. Once agreed by the Safeguarding Panel, officers will develop and implement the new training plan from April 2019.			
3868	Implement an assurance framework to monitor, report and manage compliance with Safeguarding policy and practice.	Agreed / Partially Implemented	Israel Hontavilla	31/03/2017	30/06/2017
	<b>Latest Update Note</b> <b>13/04/2018</b>	Assurance Framework agreed by CMT. Joint SROs agreed as Nigel Grimshaw and John Walsh. Departmental Safeguarding Champions identified across council and these form the Safeguarding Panel which was established in March and will meet bi-monthly. Panel agreed to establish an implementation task and finish group to draft a responsibility matrix and implementation plan. Group representatives agreed and first meeting scheduled 18 April 2018. Safeguarding Panel and Implementation Group in place with annual meeting calendar and work plan in progress. The Responsibility Matrix has been approved and timelines are being drafted to ensure close monitoring of the different actions with regular progress reviewed by the SP.			